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PTO/SB/05 (11-00)
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**UTILITY PATENT
APPLICATION TRANSMITTAL**

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.	JCW-0402	Family Number	P2002J072-US3
First Inventor	Abhimanyu O. Patil, et al.		
Title	A GEMINALLY DISUBSTITUTED OLEFIN-CARBON MONOXIDE-ETHYLENE POLYMER USEFUL AS A POLYVINYL CHLORIDE PLASTICIZER AND A METHOD OF MAKING SAME		
Express Mail Label No.	EU863100761US		

APPLICATION ELEMENTS
See MPEP chapter 600
concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Mail Stop: Patent Application
P.O. Box 1450
Alexandria, Virginia 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification (preferred arrangement set forth below) Total Pages
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets
5. ☒ Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 10 / 228,755

Prior application information: Examiner: Ling Siu Choi Confirmation No. 8616 Group Art Unit: 1713

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. FEE CALCULATION

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$770.00
Total Claims	<u>20</u> - 20	<u>—</u>	x 18.00	<u>—</u>
Independent Claims	<u>4</u> - 3	<u>1</u>	x 86.00	<u>86.00</u>
Multiple Dependent Claim Fee			+ 290.00	<u>—</u>
TOTAL FILING FEE				<u>856.00</u>

☐ Fee for recording Assignment (\$40.00).

☒ Please charge Deposit Account No. 05-1330 in the amount of \$ 856.00.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 05-1330. A duplicate copy of this Form is enclosed.

20. CORRESPONDENCE ADDRESS




27810

PATENT TRADEMARK OFFICE

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below

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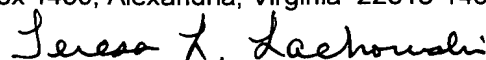
NAME (PRINT / TYPE)	Joseph C. Wang	REGISTRATION NO. (Attorney / Agent)	44,391
SIGNATURE		DATE	<u>2/27/04</u>

☒ "Express Mail" mailing label number EU863100761US Date of Deposit 2/27/04

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, Virginia 22313-1450.

TERESA L. LACHOWSKI

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

AMENDMENT TRANSMITTAL FORM

In re application of: Abhimanyu O. Patil, et al.) Before the Examiner
 Attorney Docket No.: JCW-0402 [819101]) Ling Siu Choi
 This Application is a Divisional Under 37 C.F.R. § 1.53(b) of U.S.)
 Serial No. 10/228,755 filed August 27, 2002 which is based on Patent)
 Memorandum 02CL041)
 For: A GEMINALLY DISUBSTITUTED OLEFIN-CARBON) Confirmation Number:
 MONOXIDE-ETHYLENE POLYMER USEFUL AS A) Group Art Unit: 1713
 POLYVINYL CHLORIDE PLASTICIZER AND A) Family Number: P2002J072-US3
 METHOD OF MAKING SAME)

Commissioner for Patents
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<input checked="" type="checkbox"/> "Express Mail" mailing label number	EU863100761US	Date of Deposit	2/27/04
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TERESA L. LACHOWSKI		<i>Teresa L. Lachowski</i>	
(Type or print name of person mailing paper or fee)		(Signature of person mailing paper or fee)	

Transmittal herewith is an amendment/response in the above-identified application.

Petition for extension of time pursuant to 37 CFR 1.136 and 1.137 is hereby made, if and to the extent, required. The fee for this extension of time is calculated to be \$_____ to extend the time for filing this response until _____.

The fee for any changes in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid For	(5) Present Extra	(6) Rate	(7)
Total Claims	* 2	Minus	** 20		x 18.00	-
Indep. Claims	* 2	Minus	*** 4		x 86.00	-
MULTIPLE DEPENDENT CLAIM FEE					\$290.00	-
FEE FOR CLAIM CHANGES						-

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The total fee for this PRELIMINARY AMENDMENT, including claim changes and any extension of time is calculated to be \$ 0.

☒ Charge \$ 0 to Deposit Account No. 05-1330.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 05-1330. A duplicate copy of this Form is enclosed.

Date of Signature

February 27, 2004

Attorney or Agent of Record

Joseph C. Wang

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☒ Pursuant to 37 CFR 1.34(a)



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